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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/721,351	11/26/2003	Wayne D. Comper	62386-043	6164
7590 08/04/2005 McDermott, Will & Emery 600 13th Street, N.W. Washington, DC 20005-3096			EXAMINER CHEN, STACY BROWN	
			ART UNIT 1648	PAPER NUMBER
DATE MAILED: 08/04/2005				

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/721,351

Applicant(s)

COMPER, WAYNE D.

Examiner

Stacy B. Chen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 26 November 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 22-32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 22-32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 November 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>11/26/03</u> . | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. Applicant's preliminary amendment filed November 26, 2003 is acknowledged. Claims 22-32 are pending and under examination. The numbering of claims is not in accordance with 37 CFR 1.126 because there are two claims that are labeled "claim 28". Misnumbered claims 28-31 been renumbered as 29-32.

#### ***Specification***

2. The specification is objected to for failing to update the status of the related applications to which the instant application claims priority. Correction is required.

#### ***Claim Objections***

3. Claims 28 and 29 are objected to for the following minor informalities:
- Claim 28 contains incomplete parentheses pair at the words "nephrolithiasis", "gout" and "cardiovascular disease", for example. Applicant should correct any other improper punctuation throughout claim 28.
  - Claim 28, "medullary sponge" and "preeclampsia" are misspelled.
  - Claim 29 should start on a new line rather than after the period of claim 28.
  - Claim 29 improperly depends from cancelled claim 1. For purposes of compact prosecution, claim 29 will be interpreted as dependent from claim 22.
  - The acronyms "GU" and "COPD" in claim 27 should be spelled out at their first recitation.
  - Claim 28 contains duplicate diseases, such as diabetes insipidus.

***Claim Rejections - 35 USC § 112***

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 28 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. It is unclear how some of the “diseases” listed in claim 28 qualify as diseases. For example, preeclampsia, trauma, surgery, extensive injury, burns, induction of anesthesia, drug abuse and side effects of use of drugs are not diseases. Further, “extensive” injury and drug “abuse” are relative terms for which the metes and bounds of injury and drug use cannot be determined. Many of the diseases and conditions listed in claim 28 are syndromes/anomalies that must be diagnosed with multiple symptoms, not just a urine profile. It is unclear how Applicant intends to identify syndromes with a single symptom of urine analysis. Correction is required to overcome this rejection.

5. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 22-32 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The claims are drawn to a method of diagnosing a variety of diseases comprising

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generating a fragmentation profile for at least one protein from a urine sample obtained from a subject, and comparing said fragmentation profile with a reference fragmentation profile for said at least one protein of a normal individual to determine the presence of disease(s). The specification does not put one of skill in possession of the claimed invention.

To provide adequate written description and evidence of possession of a claimed genus, the specification must provide sufficient distinguishing identifying characteristics of the genus. The factors to be considered include disclosure of complete or partial structure, physical and/or chemical properties, functional characteristics, structure/function correlation, methods of making the claimed product, or any combination thereof. In this case, the specification only provides partial structure of the proteins (of the fragmentation profile) used to detect the genus of diseases and associated proteins (listed in claims 28 and 31). There is not even identification of any particular portion of the protein structure that must be conserved. The fragmentation profiles (fragment size and sequence) of the various diseases have not been determined in sick or healthy individuals, nor has the specification shown possession of a method of determine the fragmentation profiles. The diseases included in the method are:

Nephropathy	Nephrotic syndrome
Diabetes insipidus	Minimal change disease
Diabetes type I	Focal glomerulosclerosi
Diabetes type II	Acute renal failure
Renal disease	Acute tumulointerstitial nephritis
Glomerulonephritis	Pyelonephritis
Bacterial glomerulonephritis	GU tract inflammatory disease
Viral glomerulonephritis	Preeclampsia
IgA nephropathy	Renal graft rejection
Henoch-Schölein Purpura	Leprosy
Membranoproliferative	Reflux nephropathy
glomerulonephritis	Nephrolithiasis
Membranous nephropathy	Genetic renal disease
Sjögren's syndrome	Medullary cystic

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Medullary sponge  
Polycystic kidney disease  
Autosomal dominant polycystic  
kidney disease  
Autosomal recessive polycystic  
kidney disease  
Tuberous sclerosis  
Von Hippel-Lindau disease  
Familial thin-glomerular basement  
membrane disease  
Collagen III  
Glomerulopathy  
Fibronectin glomerulopathy  
Alport's syndrome  
Fabry's disease  
Nail-Patella Syndrome  
Congenital urologic anomalies  
Monoclonal gammopathies  
Multiple myeloma  
Amyloidosis  
Febrile illness  
Familial Mediterranean fever  
HIV infection- AIDS  
Inflammatory disease  
Systemic vasculitides  
Polyarteritis nodosa  
Wegener's granulomatosis  
Polyarteritis  
Necrotizing  
Crescentic glomerulonephritis  
Polymyositis-dermatomyositis  
Pancreatitis  
Rheumatoid arthritis  
Systemic lupus erythematosus  
Gout  
Blood disorders

Sickle cell disease  
Thrombotic thrombocytopenia  
purpura  
Hemolytic-uremic syndrome  
Acute cortical necrosis  
Renal thromboembolism  
Trauma  
Surgery  
Extensive injury  
Burns  
Abdominal and vascular surgery  
Induction of anesthesia  
Side effect of use of drugs  
Drug abuse  
Malignant disease  
Adenocarcinoma  
Melanoma  
Lymphoreticular  
Multiple myeloma  
Circulatory disease  
Myocardial infarction  
Cardiac failure  
Peripheral vascular disease  
Atherosclerotic cardiovascular  
disease  
Skin disease  
Psoriasis  
Systemic sclerosis  
Respiratory disease  
COPD  
Obstructive sleep apnea  
Hypoxia at high altitude  
Endocrine disease  
Acromegaly  
Diabetes mellitus

The specification clearly does not demonstrate possession of fragmentation profiles that diagnose these diseases. One would have to discover and construct profiles for each disease based on age, race, sex, and a number of other factors to determine fragmentation profiles for

various individuals. Applicant has not done this work and therefore does not possess the fragmentation profiles that diagnose these diseases in all individuals. Accordingly, in the absence of sufficient recitation of distinguishing identifying characteristics, the specification does not provide adequate written description of the claimed genus.

*Vas-Cath Inc. v. Mahurkar*, 19USPQ2d 1111, clearly states “applicant must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of *the invention*. The invention is, for purposes of the ‘written description’ inquiry, *whatever is now claimed*.” (See page 1117.) The specification does not “clearly allow persons of ordinary skill in the art to recognize that [he or she] invented what is claimed.” (See *Vas-Cath* at page 1116). As discussed above, the skilled artisan cannot envision the detailed chemical structure of the encompassed genus of polypeptides, and therefore conception is not achieved until reduction to practice has occurred, regardless of the complexity or simplicity of the method of isolation. Adequate written description requires more than a mere statement that it is part of the invention and reference to a potential method of isolating it. The compound itself is required. See *Fiers v. Revel*, 25 USPQ2d 1601 at 1606 (CAFC 1993) and *Amgen Inc. v. Chugai Pharmaceutical Co. Ltd.*, 18 USPQ2d 1016. One cannot describe what one has not conceived.

6. Claims 22-32 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. As outlined above, the claims are drawn to a method of diagnosing a variety of diseases comprising generating a

fragmentation profile for at least one protein from a urine sample obtained from a subject, and comparing said fragmentation profile with a reference fragmentation profile for said at least one protein of a normal individual to determine the presence of disease(s). The specification does not enable one of skill to practice the claimed invention. There is no guidance for determining the fragmentation profiles of the various diseases in various individuals/groups. There are no working examples of constructing a fragmentation profile for gout, burns, trauma, surgery, etc. The specification fails to teach what kind of proteins and fragments are indicative of what disease(s). Without this knowledge, one of skill cannot diagnose diseases. The specification clearly does not demonstrate enablement of diagnosis because one would have to discover and construct profiles for each disease based on age, race, sex, and a number of other factors to determine fragmentation profiles for various individuals. Applicant has not done this work and therefore has not enabled one of skill to diagnose diseases with the yet-to-be-discovered fragmentation profiles. Therefore, the specification does not enable the practice of the claimed invention for any disease because fragmentation profiles of the "normal" versus "sick" have not been constructed and the specification does not teach how to make the fragmentation profiles that diagnose the diseases listed in claim 28.

### ***Conclusion***

7. No claim is allowed.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished



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applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stacy B. Chen whose telephone number is 571-272-0896. The examiner can normally be reached on M-F (7:00-4:30). If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James C. Housel can be reached on 571-272-0902. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.



Stacy B. Chen  
August 2, 2005